

# Eilenberg-MacLane spaces in Homotopy Type Theory

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There are models of *type theory* in various abstract frameworks for *homotopy theory*.

Examples:

- Quillen model categories [Awodey, Warren, 2009];
- Simplicial sets [Streicher, 2011];
- Cubical sets [Bezem, Coquand, Huber, 2014];
- ... and many more.

# Synthetic Homotopy Theory

This leads to a new program, *Synthetic Homotopy Theory*:

Study types in type theory as spaces in homotopy theory.

This gives a more general and constructive treatment of homotopy theory which is easier to verify formally in a computer proof assistant.

- ▶ The main theorem in this talk has been fully formalized.

I work in *Homotopy Type Theory* (HoTT): dependent type theory with *univalence* and *higher inductive types* [Homotopy Type Theory, 2013].

As motivating example I will concentrate on *Eilenberg-MacLane spaces*.

# Homotopy Type Theory

Homotopy Type Theory combines Type Theory with Homotopy Theory.

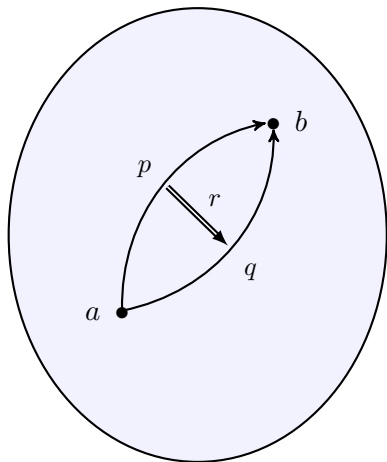
	Type Theory	Logic	Homotopy Theory
$A$	Type	Formula	Space*
$a : A$	Term/Element	Proof	Point
$A \times B$	Product Type	Conjunction	Binary Product sp.
$A \rightarrow B$	Function Type	Implication	Mapping space
$P : A \rightarrow \text{Type}$	Dependent Type	Predicate	Fibration
$\Sigma(x : A). P(x)$	Sigma Type	Ex. Quantifier	Total space
$\Pi(x : A). P(x)$	Dep. Fn. Type	Un. Quantifier	Product space
$a =_A b$	Identity Type	Equality	Path space

I will use these notions interchangeably.

# Types as spaces

A type  $A$  can have

- points  $a, b : A$
  - paths  $p, q : a = b$
  - paths between paths  $r : p = q$
- ⋮



# Identity Type

Different ways to think about the identity type:

- **Type theory:** The identity type is generated by reflexivity:  
 $\text{refl}_a : a =_A a.$
- **Logic:** Equality is the least (free) reflexive relation.
- **Homotopy theory:** The path space with one point fixed is contractible.

(This does not mean every proof of equality is reflexivity)

This is made precise by *path induction*:

- If  $C : \Pi(x : A). a = x \rightarrow \text{Type}$ ,
- to prove/construct an element of  $\Pi(x : A). \Pi(p : a = x). C(x, p)$
- it is sufficient to prove/construct an element of  $C(a, \text{refl}_a)$

**Example** Symmetry of equality (invertibility of paths)

$$\Pi(A : \text{Type}). \Pi(a\ b : A). a = b \rightarrow b = a.$$

**Proof.** Suppose  $A$  is a type and  $a : A$ . We need to prove  $\Pi(b : A). a = b \rightarrow b = a$ .

We apply path induction, in which case we need to prove  $a = a$ , which is true by  $\text{refl}_a$ .

## Identity Type (2)

We can also look at the identity type in a type-oriented way:

$$\begin{aligned}(a, b) =_{A \times B} (a', b') & \text{ is } (a =_A a') \times (b =_B b') \\ f = g & \text{ is } \prod x. f(x) = g(x) \quad (\text{function extensionality}) \\ A =_{\text{Type}} B & \text{ is } A \simeq B \quad (\text{univalence, Voevodsky})\end{aligned}$$

This is done in *cubical type theory*.



# Truncated Types

Some types are *truncated*, which means there are all higher paths are trivial.

A type  $A$  is *contractible* ( $(-2)$ -type) if it has exactly one element, if

$$\Sigma(x : A). \Pi(y : A). x = y.$$

A type  $A$  is a *proposition* ( $(-1)$ -type) if it has at most one element, if

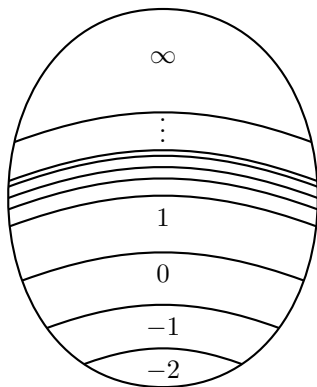
$$\Pi(x\ y : A). x = y.$$

In either of the above cases, all (higher) paths in  $A$  are trivial.

$A$  is a *set* (0-type) if for all  $x\ y : A$  the type  $x = y$  is a proposition.

$A$  is an  $(n + 1)$ -type if for all  $x\ y : A$  the type  $x = y$  is an  $n$ -type.

# Truncated Types



# Truncation

Given  $A$ , we can form the  $n$ -truncation  $\|A\|_n$ .

$\|A\|_n$  is the “best approximation” of  $A$  which is  $n$ -truncated.

If  $X$  is  $n$ -truncated, we get the following universal property:

$$\begin{array}{ccc} A & & \\ \downarrow \text{trunc}_n & \searrow \forall & \\ \|A\|_n & \dashrightarrow \exists! & X \end{array}$$

# Higher Inductive Types

In Type Theory there are *inductive types*, in which you specify its points.

**Examples.**  $\mathbb{N}$  is generated by 0 and succ  
 $A + B$  is generated by either  $a : A$  or  $b : B$   
 $a =_A (-)$  is generated by  $\text{refl}_a : a =_A a$

In homotopy theory we can build cell complexes inductively.

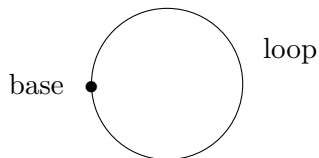
In HoTT we can combine these into *higher inductive types* [Shulman, Lumsdaine, 2012].

# The circle

**Example.** The circle  $\mathbb{S}^1$

HIT  $\mathbb{S}^1 :=$

- $\text{base} : \mathbb{S}^1$
- $\text{loop} : \text{base} = \text{base}$



Using univalence, we can prove  $\text{loop} \neq \text{refl}$ .

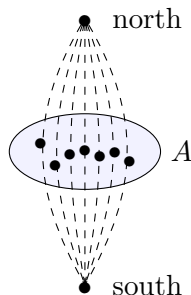
**Recursion Principle.** To define  $f : \mathbb{S}^1 \rightarrow A$  we need to define  $a : A$  and  $p : a = a$ .

# The suspension

**Example.** The suspension  $\Sigma A$

HIT  $\Sigma A :=$

- north, south :  $\Sigma A$
- merid :  $A \rightarrow (\text{north} = \text{south})$



**Remark.**  $\mathbb{S}^1 \simeq \Sigma \mathbf{2}$

**Definition.** We can now define the  $n$ -spheres by  $\mathbb{S}^{n+1} := \Sigma \mathbb{S}^n$  and  $\mathbb{S}^0 := \mathbf{2}$

# Homotopy Groups

In algebraic topology, we look for algebraic invariants of spaces, like the *homotopy groups*.

Traditionally:  $\pi_n(A, a_0) = \{f : \mathbb{S}^n \rightarrow A \mid f \text{ preserves basepoints}\} / \sim$ .

In HoTT  $\pi_n(A, a_0) = \|\mathbb{S}^n \rightarrow^* A\|_0$  where we use  $\rightarrow^*$  for basepoint preserving maps.

Alternative characterization:  $\pi_n(A, a_0) = \|\Omega^n(A, a_0)\|_0$  where  $\Omega(A, a_0) = (a_0 = a_0, \text{refl}_{a_0})$ .

These are groups for  $n \geq 1$  (abelian for  $n \geq 2$ ).

# Connectedness and truncatedness

If  $X$  is  $n$ -truncated then  $\pi_k(X) = 0$  for all  $k > n$ .

The converse is not true in general.

**Definition.** A type  $A$  is  $n$ -connected if  $\|A\|_n$  is contractible.

**Remark.**  $(-1)$ -connected: merely inhabited;  
0-connected: path-connected;  
1-connected: simply connected.

$X$  is  $n$ -connected if and only if  $\pi_k(X) = 0$  for all  $k \leq n$ .

If  $X$  is  $n$ -connected, then  $\Sigma X$  is  $(n + 1)$ -connected.

Thus the  $n$ -sphere  $\mathbb{S}^n$  is  $(n - 1)$ -connected.



# Homotopy Groups of spheres

	$S^0$	$S^1$	$S^2$	$S^3$	$S^4$	$S^5$	$S^6$	$S^7$	$S^8$
$\pi_1$	0	$\mathbb{Z}$	0	0	0	0	0	0	0
$\pi_2$	0	0	$\mathbb{Z}$	0	0	0	0	0	0
$\pi_3$	0	0	$\mathbb{Z}$	$\mathbb{Z}$	0	0	0	0	0
$\pi_4$	0	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	0
$\pi_5$	0	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0
$\pi_6$	0	0	$\mathbb{Z}_{12}$	$\mathbb{Z}_{12}$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0
$\pi_7$	0	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z} \times \mathbb{Z}_{12}$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0
$\pi_8$	0	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}_2^2$	$\mathbb{Z}_{24}$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$
$\pi_9$	0	0	$\mathbb{Z}_3$	$\mathbb{Z}_3$	$\mathbb{Z}_2^2$	$\mathbb{Z}_2$	$\mathbb{Z}_{24}$	$\mathbb{Z}_2$	$\mathbb{Z}_2$
$\pi_{10}$	0	0	$\mathbb{Z}_{15}$	$\mathbb{Z}_{15}$	$\mathbb{Z}_{24} \times \mathbb{Z}_3$	$\mathbb{Z}_2$	0	$\mathbb{Z}_{24}$	$\mathbb{Z}_2$
$\pi_{11}$	0	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}_{15}$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	$\mathbb{Z}_{24}$
$\pi_{12}$	0	0	$\mathbb{Z}_2^2$	$\mathbb{Z}_2^2$	$\mathbb{Z}_2$	$\mathbb{Z}_{30}$	$\mathbb{Z}_2$	0	0
$\pi_{13}$	0	0	$\mathbb{Z}_{12} \times \mathbb{Z}_2$	$\mathbb{Z}_{12} \times \mathbb{Z}_2$	$\mathbb{Z}_2^3$	$\mathbb{Z}_2$	$\mathbb{Z}_{60}$	$\mathbb{Z}_2$	0

# Homotopy Groups of spheres

	$S^0$	$S^1$	$S^2$	$S^3$	$S^4$	$S^5$	$S^6$	$S^7$	$S^8$
$\pi_1$	0	$\mathbb{Z}$	0	0	0	0	0	0	0
$\pi_2$	0	0	$\mathbb{Z}$	0	0	0	0	0	0
$\pi_3$	0	0	$\mathbb{Z}$	$\mathbb{Z}$	0	0	0	0	0
$\pi_4$	0	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	0
$\pi_5$	0	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0
$\pi_6$	0	0	$\mathbb{Z}_{12}$	$\mathbb{Z}_{12}$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0
$\pi_7$	0	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z} \times \mathbb{Z}_{12}$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0
$\pi_8$	0	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}_2^2$	$\mathbb{Z}_{24}$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$
$\pi_9$	0	0	$\mathbb{Z}_3$	$\mathbb{Z}_3$	$\mathbb{Z}_2^2$	$\mathbb{Z}_2$	$\mathbb{Z}_{24}$	$\mathbb{Z}_2$	$\mathbb{Z}_2$
$\pi_{10}$	0	0	$\mathbb{Z}_{15}$	$\mathbb{Z}_{15}$	$\mathbb{Z}_{24} \times \mathbb{Z}_3$	$\mathbb{Z}_2$	0	$\mathbb{Z}_{24}$	$\mathbb{Z}_2$
$\pi_{11}$	0	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}_{15}$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	$\mathbb{Z}_{24}$
$\pi_{12}$	0	0	$\mathbb{Z}_2^2$	$\mathbb{Z}_2^2$	$\mathbb{Z}_2$	$\mathbb{Z}_{30}$	$\mathbb{Z}_2$	0	0
$\pi_{13}$	0	0	$\mathbb{Z}_{12} \times \mathbb{Z}_2$	$\mathbb{Z}_{12} \times \mathbb{Z}_2$	$\mathbb{Z}_2^3$	$\mathbb{Z}_2$	$\mathbb{Z}_{60}$	$\mathbb{Z}_2$	0

# Eilenberg MacLane spaces

**Question.** Can we construct spaces with simple homotopy groups?

In classical homotopy theory, the *Eilenberg MacLane space*  $K(G, n)$  is the *unique* space such that

$$\pi_n(K(G, n)) = \begin{cases} G & \text{if } n = k \\ 0 & \text{if } n \neq k. \end{cases}$$

We have already seen one example  $K(\mathbb{Z}, 1) = \mathbb{S}^1$ .

Eilenberg-MacLane spaces classify homology and cohomology.

These can be constructed in HoTT [Licata, Finster, 2014].

We write  $\text{Type}_*^{\equiv n}$  for the universe of pointed  $(n - 1)$ -connected  $n$ -truncated types. We want to construct  $K(G, n) : \text{Type}_*^{\equiv n}$ .

# Eilenberg MacLane space $K(G, 1)$

For  $n = 1$ , suppose  $G$  is a group.

HIT  $\tilde{K}(G, 1) :=$

- $\star : \tilde{K}(G, 1)$
- $\text{pth} : G \rightarrow (\star = \star)$
- $\text{pth-mul} : \Pi(g, h : G). \text{pth}(gh) = \text{pth}(g) \cdot \text{pth}(h)$

$\tilde{K}(G, 1)$  is not quite an Eilenberg-MacLane space; it has nontrivial higher structure.

$$K(G, 1) := \|\tilde{K}(G, 1)\|_1$$

$K(G, 1)$  is 0-connected, 1-truncated (it lives in  $\text{Type}_*^{\leq 1}$ ) and one can show that  $\pi_1(K(G, 1)) = G$ .

## Eilenberg MacLane space $K(G, n)$

Suppose  $n \geq 1$ . We want to construct  $K(G, n + 1)$  out of  $K(G, n)$ . This only works if  $G$  is abelian.

**Definition.**  $K(G, n + 1) := \|\Sigma K(G, n)\|_{n+1}$

Now  $K(G, n + 1)$  is indeed  $n$ -connected and  $(n + 1)$ -truncated (it lives in  $\text{Type}_*^{\leq n+1}$ ). We can show that  $\Omega K(G, n + 1) = K(G, n)$  (if  $G$  is abelian). Hence

$$\begin{aligned}\Omega^{n+1}K(G, n + 1) &= \Omega^n \Omega K(G, n + 1) \\ &= \Omega^n K(G, n) \\ &= G\end{aligned}$$

So  $K(G, n)$  has the right homotopy groups.

**Theorem.** Any  $X : \mathbf{Type}_*^{\equiv n}$  is equivalent to  $K(\pi_n(X), n)$ .  
Moreover,  $K(-, n)$ , interpreted as a functor from  $\mathbf{AbGrp} \rightarrow \mathbf{Type}_*^{\equiv n}$  is an equivalence of categories for  $n \geq 2$ .  
For  $n = 1$  it is an equivalence of categories  $\mathbf{Grp} \rightarrow \mathbf{Type}_*^{\equiv 1}$ .

This means that not only every  $X : \mathbf{Type}_*^{\equiv n}$  is an Eilenberg-MacLane space, but also any map  $f : X \rightarrow Y$  is given by the action of a unique group homomorphism on Eilenberg MacLane spaces.

## Special case: uniqueness of $K(G, 1)$

As a special case we show: if  $(X, x_0) : \text{Type}_*^{\equiv 1}$  and we have a group isomorphism  $e : G \simeq \pi_1(X)$  then  $K(G, 1) \simeq X$ .

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HIT  $\tilde{K}(G, 1) :=$

- $\star : \tilde{K}(G, 1)$
- $\text{pth} : G \rightarrow \star = \star$
- $\text{pth-mul} : \Pi(g \ h : G). \text{pth}(gh) = \text{pth}(g) \cdot \text{pth}(h)$

$K(G, 1) = \|\tilde{K}(G, 1)\|_1$

---

**Recursion Principle.** To define  $f : K(G, 1) \rightarrow A$  for a 1-type  $A$  we need  $a : A$  and  $p : G \rightarrow a = a$  such that  $p(gh) = p(g) \cdot p(h)$ .

We define a map  $f : K(G, 1) \rightarrow X$  by sending  $\star$  to  $x_0$ ,  $\text{pth}(g)$  to  $e(g)$ , viewed as element of  $\Omega X$ , and  $e(gh) = e(g)e(h)$  because  $e$  is a group homomorphism. Is  $f$  an equivalence?

## Special case: uniqueness of $K(G, 1)$

$f$  induces an isomorphism on  $\pi_k(K(G, 1)) \rightarrow \pi_k(X)$  for all  $k$  (trivially for  $k \neq 1$ ).

Such an  $f$  is called a *weak equivalence*.

For  $k = 1$ , we use that the following triangle commutes:

$$\begin{array}{ccc} \pi_1(K(G, 1)) & \xrightarrow{\pi_1(f)} & \pi_1(X) \\ & \searrow & \nearrow \\ & G & e \end{array}$$

**Theorem.** (Whitehead) If  $g : A \rightarrow B$  is a weak equivalence,  $A$  and  $B$  are  $n$ -types for some  $n$ , then  $f$  is an equivalence.

Hence  $f : K(G, 1) \rightarrow X$  is an equivalence.



This result is formally proven in the proof assistant *Lean*.

Lean is a new open source proof assistant with support for HoTT, similar to Coq and Agda.

Lean implements dependent type theory with a hierarchy of (non-cumulative) universes and inductive types (à la Dybjer, with constructors and recursors).

The kernel is smaller and simpler than those of Coq and Agda.

Lean has two modes: a standard mode for classical and constructive reasoning and a HoTT mode for Homotopy Type Theory.

The Lean HoTT library contains an extensive collection of basic concepts, and the following results were formalized:

- The Freudenthal Suspension Theorem
- The Hopf fibration
- The long exact sequence of homotopy groups
- The Seifert-van Kampen theorem
- The adjunction between the smash product and pointed maps
- Eilenberg MacLane spaces

Currently I'm working in a group project to formalize spectral sequences in Lean.

# Code snippets

```
definition KG1_map {G : Group} {X : Type*} (e : G → Ω X)
  (r : Πg h, e (g * h) = e g · e h) [is_conn 0 X] [is_trunc 1 X]
  : K G 1 → X :=
```

```
begin
  intro x, induction x using EM.elim,
  { exact Point X },
  { exact e g },
  { exact r g h }
end
```

```
definition Grp_equivalence : Grp ≃c cType*[1] :=
equivalence.mk EM1_cfunctor is_equivalence_EM1_cfunctor
```

```
definition AbGrp_equivalence (n : ℕ) : AbGrp ≃c cType*[n+2] :=
equivalence.mk (EM_cfunctor (n+2)) (is_equivalence_EM_cfunctor n)
```

## Advantages of Synthetic homotopy theory:

- More general
  - ▶ There are multiple models of HoTT;
- The homotopy theoretic notions are primitives in type theory
  - ▶ We don't have to talk about topology, continuity, . . . .
- Novel ways of reasoning
  - ▶ Path induction, homotopy invariance;
- Constructive (but not anti-classical)
  - ▶ Has computational interpretation;
- Possible to verify formally in practice
  - ▶ Proof fully formalized in Lean.

# Thank you

The Lean HoTT library is available at:

<https://github.com/leanprover/lean2/blob/master/hott/hott.md>