

# The internals of Lean

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# Questions discussed

- What is the logic of Lean?
- How does Lean check a proof?
- Can we trust proofs checked by Lean?

# Computer algebra systems and proof assistants

FROM THE MAKERS OF WOLFRAM LANGUAGE AND MATHEMATICA



Simplify[(a + b)^2=a^2+b^2+2\*a\*b]

 NATURAL LANGUAGE

 MATH INPUT

 EXTENDED KEYBOARD  EXAMPLES

Input interpretation

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Expanded form

True

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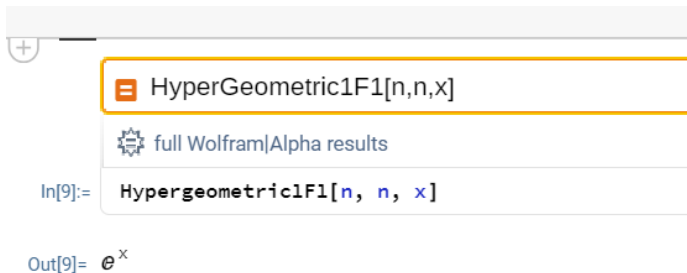
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Expanded form

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```
example (a b : C) :  
  (a + b)^2 = a^2 + b^2 + 2*a*b := by ring
```


# Computer algebra systems and proof assistants




The screenshot shows a Mathematica interface. At the top, there is a search bar with a plus sign icon. Below it, a dropdown menu is open, showing the function `HyperGeometric1F1[n,n,x]` highlighted with a yellow border. Below the dropdown, there is a gear icon and the text "full Wolfram|Alpha results". The input cell contains `In[9]:= Hypergeometric1F1[n, n, x]`. The output cell contains `Out[9]= ex`.

# Computer algebra systems and proof assistants





 HyperGeometric1F1[n,n,x]

 full Wolfram|Alpha results

In[9]:= `Hypergeometric1F1[n, n, x]`

Out[9]=  $e^x$

 HyperGeometric1F1[-1,-1,x]

 full Wolfram|Alpha results

In[10]:= `Hypergeometric1F1[-1, -1, x]`

Out[10]=  $1 + x$

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**Computer Algebra System** Perform efficient computations, that are correct most of the time.

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**Automated Theorem Prover** User writes a statement, the program will find a proof or fail.



# Logic of a proof assistant

A proof assistant implements a particular **logic** in which the proofs are checked.

Set theory Mizar, Metamath\*

Simple type theory HOL Light, Isabelle\*

Dependent type theory Lean, Coq

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You don't need to know the logic to start doing mathematics with a proof assistant

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We want to use  $+$  mean different things in different situations.

- $\pi + e$  is addition in  $\mathbb{R}$
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More complicated expressions:

$$e^x = \sum_{k=0}^{\infty} \frac{x^k}{k!}$$

# Type theory

In type theory, every term has an associated **unique** type.

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$$\pi : \mathbb{R}$$

$$i : \mathbb{C}$$

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Type theory allows you to catch mistakes. If  $f : \mathbb{R} \rightarrow \mathbb{R}$  then writing  $f(i)$  will give a **type error**.

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In set theory this is harder, it's “too flexible”.



# Warning

We have  $3 : \mathbb{N}$  and  $3 : \mathbb{R}$ .

In type theory, these two 3's are **not** the same object.

(Of course, canonical inclusion  $\mathbb{N} \hookrightarrow \mathbb{R}$  sends the former to the latter.)

In Lean, you can write  $(3 : \mathbb{N})$  or  $(3 : \mathbb{R})$  to force an expression to have a particular type.

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Operations on types  $\mathbb{Z} \times \mathbb{Q}$

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Definitional equality There is a notion of computation:  $2 + 2 \equiv 4$ ,

$(x, y).1 \equiv x$ .

`rf1` can prove  $a = b$  precisely when  $a$  and  $b$  are definitionally equal.

# Dependent type theory

There are some details in Lean's type theory that are a bit complicated:

**Useful to learn** let-expressions, quotients, axiom of choice

**A bit obscure** universe levels, proof irrelevance, propositional extensionality

**Very obscure** impredicative `Prop`, subsingleton elimination,  
 $\alpha\beta\delta\eta\zeta\iota$ -conversion

Is Lean's logic sound?

Short answer: Yes



Is Lean's logic sound?

Yes, modulo issues with Gödel's incompleteness theorem

Is Lean's logic sound?

It is weaker than ZFC +  $\omega$  inaccessible cardinals

```
@[simp]
theorem integral_sin :  $\int x \text{ in } a..b, \sin x = \cos a - \cos b := \text{by}$ 
  rw [integral_deriv_eq_sub' fun x => -cos x]
  · ring
  · norm_num
  · simp only [differentiableAt_neg_iff, differentiableAt_cos, implies_true]
  · exact continuousOn_sin
```

What happens after writing a proof?

- Parsing (interpreting notation)
- Elaboration (figure out implicit information)
- Tactic execution
- Kernel checking

# Elaboration

```
theorem add_comm {G : Type*} [AddCommMagma G]
  (a : G) (b : G) :
  a + b = b + a
```

```
example (a b c : ℝ) : a * b + c = c + a * b := by
  exact add_comm (a * b) c
```

- Lean figures out that  $(G := \mathbb{R})$  from context (by looking at the type of  $a$ ,  $b$  and  $c$ )
- Lean has a database of types where addition commutes, and looks up to see that it is true for  $\mathbb{R}$  (*type-class inference*)

# Tactic execution

Tactics can be any program that construct part of the proof.

Simple tactics that do 1 step in a proof: `intro`, `apply`, `have`, `rw`;

Domain-specific automation: `ring`, `linarith`

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Tactics produce a **proof term**.

(usually giant, unreadable for humans)



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The kernel is a (relatively) small part of Lean, and it is the **trusted codebase**.

To trust that Lean only accepts true theorems, you only have to trust the kernel. **You do not have to trust tactics.**

# Trust

To verify a formalization of non-malicious user:

- check the theorem statement
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(`#print axioms my_theorem`)

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If you are **really** paranoid:

- trust consistency of ZFC +  $\omega$  inaccessible
- trust the compiler that compiled the type checker down to machine code
- trust that your hardware follows specifications
- trust that no cosmic rays interfered with your hard drive

# Extensibility

**Demo** You can declare your own notation

```
notation3 "∫" "(...)" in "a".."b",  
  "r:60:(scoped f ⇒ intervalIntegral f a b volume) ⇒ r
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elab "my_assumption" : tactic ⇒ do
  let target ← getMainTarget
  for ldecl in ← getLCtx do
    if ldecl.isImplementationDetail then continue
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In fact, almost every part of Lean (parsing, elaboration, tactics, compilation) are written **in Lean**

# Conclusions

- Type theory is a useful logic for formalization;
- You can trust Lean formalizations;
- Lean is very extensible.